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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Keith Hankin, Ching-Wen Alan Chu, Nirupama  
Mallayarupu, and James Kong

Serial No. 09/940,580

Filed: August 29, 2001

For: SYSTEM AND METHOD FOR PROVIDING A  
PERSISTENT OBJECT FRAMEWORK FOR  
MANAGING PERSISTENT OBJECTS

Group Art Unit: 2171

Examiner:

Confirmation No.: 5009

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 12 November 2002

Respectfully submitted,

William J. Kubida, Reg. No. 29,664

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Sir:

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2. Form PTO/SB/08A, with references;
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